

09/18/00
jc891 U.S. PTO

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Please type a plus sign (+) inside this box ☒

PTO/SB/05 (1/98)
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **00AB015**
First Inventor or Application Identifier **Kerry Vandesteeg**
Title **Network Independent Safety Protocol for Industrial Controller**
Express Mail Label No. **EJ 636 886 889 US**

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- 1 ☒ Fee transmittal Form
(Submit an original and a duplicate for fee processing)
- 2 ☒ Specification (Total Pages **26**)
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed Sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 3 ☒ Drawing(s) (35 USC 113) (Total Sheets **12**)
4. Oath or Declaration (Total Pages **3**)
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from prior Application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)
 - i. ☐ DELETION OF INVENTOR(S)
Signed Statement attached deleting
inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
- 5 ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application from
which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the
disclosure of the accompanying application and is
hereby incorporated by reference herein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity of above

ACCOMPANYING APPLICATION PARTS

- 8 ☒ Assignment Papers (cover sheet & documents)
- 9 ☐ 37 CFR 3.73(b) Statement (where there is an assignee) ☐ Power of Attorney
- 10 ☐ English Translation Document (if applicable)
- 11 ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 12 ☐ Preliminary Amendment
- 13 ☒ Return receipt postcard (MPEP 503)
(Should be specifically itemized)
- 14 ☐ *Small Entity Statement filed in prior application
Statement(s) ☐ Status still proper and desired
- 15 ☐ Certified copy of priority Document(s)
(if foreign priority is claimed)
- 16 ☐ Other:
* A new statement is required to pay small entity fees, except where
one has been filed in a prior application and is being relied upon

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. ____ / ____
Prior application information: Examiner: _____ Group/Art Unit: _____

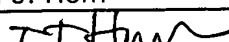
18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label)

or ☒ Correspondence address below

NAME	John J. Horn					
	Patent Dept. 704P, 8th Floor, T-29					
ADDRESS	Rockwell Technologies, LLC					
	1201 S. Second Street					
CITY	Milwaukee	STATE	WI	ZIP CODE	53204-2496	
COUNTRY	USA	TELEPHONE	(414) 382-3960	FAX	(414) 382-3900	

Name (Print/Type)	John J. Horn	Registration No. (Attorney/Agent)	28,803
Signature		Date	Sept. 18, 2000

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231
4604125 110003.97061

**FEE TRANSMITTAL
for FY 2000**

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement
otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 C.F.R. §§1.27 and 1.28

TOTAL AMOUNT OF PAYMENT \$730.00

Attorney Docket Number 00AB015

Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Kerry Vandesteeg
Group Art Unit	
Examiner Name	

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 01-0857

Deposit Account Name Rockwell Automation

☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	690.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$)690.00

2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
19	-20**= 0	X --	= --
Independent 2	-3**= 0	X --	= --
Multiple Dependent Claims			=

** or number previously paid, if greater, For reissues see below

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$).00				

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)40.00

SUBMITTED BY**Complete (if applicable)**

Typed or Printed Name	John J. Horn	Registration No. (Attorney/Agent)	28,803	Telephone	414-382-3561
Signature				Date	Sept. 18, 2000